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7 8 9 10	Attorneys for Defendants SAMSUNG ELECTRONICS CO., LTD., SAMSUNG SEMICONDUCTOR, INC. and SAMSUNG ELECTRONICS AMERICA, IN	
11 12		DISTRICT COURT
13 14		SCO DIVISION
15		Master File No. M-07-1827 SI
16 17	In re: TFT-LCD (FLAT PANEL) ANTITRUST LITIGATION	MDL No. 1827
18 19 20 21 22	This Document Relates To:  ALL DIRECT PURCHASER ACTIONS	ANSWER OF DEFENDANTS SAMSUNG ELECTRONICS CO., LTD., SAMSUNG SEMICONDUCTOR, INC. AND SAMSUNG ELECTRONICS AMERICA, INC. TO THIRD AMENDED DIRECT PURCHASER PLAINTIFFS' CONSOLIDATED COMPLAINT
23		Trial Date: None Set
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Defendants Samsung Electronics Company, Ltd. ("SEC"), Samsung Semiconductor, Inc. ("SSI"), and Samsung Electronics America, Inc. ("SEA") (collectively "Samsung"), by and through their undersigned counsel of record, answer the Third Amended Direct Purchaser Plaintiffs' Consolidated Complaint (the "Complaint") and allege additional or affirmative defenses as follows. Samsung denies each and every allegation in the Complaint's section headings and in all portions of the Complaint not contained in numbered paragraphs. To the extent that the Complaint's allegations concern persons and/or entities other than Samsung, Samsung denies that such allegations support any claim for relief against Samsung.

#### I. Introduction

- 1. To the extent that the allegations of Paragraph 1 are definitional, Samsung avers that no response is required. To the extent a response is required, Samsung denies all of the allegations of Paragraph 1, with the exception of matters specifically admitted herein as follows. Samsung admits that Plaintiffs purport to bring this action on behalf of themselves and as a putative class action of persons and entities who directly purchased a Thin Film Transistor Liquid Crystal Display ("TFT-LCD") panel, or a product containing a TFT-LCD panel, which Plaintiffs further define in Paragraph 1, but otherwise denies that there is a properly certifiable class as defined in Paragraph 1. Samsung also admits that Plaintiffs purport to refer to both TFT-LCD panels and products containing TFT-LCD panels, with the term "TFT-LCD Products."
- 2. To the extent that the allegations of Paragraph 2 are definitional, Samsung avers that no response is required. To the extent a response is required, Samsung denies all of the allegations of Paragraph 2, with the exception of matters specifically admitted herein as follows. Samsung admits that TFT-LCD is one type of active matrix LCD.
- 3. To the extent allegations of Paragraph 3 are definitional, Samsung avers that no response is required. To the extent a response is required, Samsung denies all of the allegations of Paragraph 3.

- 4. To the extent that the allegations of Paragraph 4 relate to other defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent the allegations of Paragraph 4 relate to Samsung, Samsung denies all of those allegations.
- 5. To the extent that the allegations of Paragraph 5 relate to other defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent the allegations of Paragraph 5 relate to Samsung, Samsung denies all of those allegations.

#### II. Allegations Concerning Jurisdiction and Venue.

- 6. Samsung admits that Plaintiffs purport to invoke the cited statutory provisions, but otherwise denies the allegations of Paragraph 6.
- 7. Samsung avers that the allegations of Paragraph 7 regarding subject matter jurisdiction constitute legal contentions and/or conclusions to which no response is required. To the extent that a response is required, and to the extent allegations of Paragraph 7 relate to Samsung, Samsung denies all of the allegations of Paragraph 7.
- 8. Samsung avers that the allegations of Paragraph 8 regarding venue constitute legal contentions and/or conclusions to which no response is required. To the extent that a response is required, and to the extent allegations of Paragraph 8 relate to Samsung, Samsung denies all of the allegations of Paragraph 8, with the exception of matters specifically admitted herein as follows. Samsung admits that SSI resides within this judicial district and that SSI is licensed to and is doing business in this judicial district. To the extent the allegations of Paragraph 8 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to the truth of those allegations, and therefore denies them.
- 9. Samsung avers that the allegations of Paragraph 9 regarding jurisdiction constitute legal contentions and/or conclusions to which no response is required. To the extent that a response is required, and to the extent allegations of Paragraph 9 relate to Samsung, Samsung denies all of the allegations of Paragraph 9, with

defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a

belief as to their truth, and therefore denies them. To the extent the allegations of

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Paragraph 15 relate to Samsung, Samsung denies all of those allegations.

- To the extent that the allegations of Paragraph 16 relate to other defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent the allegations of Paragraph 16 relate to Samsung, Samsung denies all of those allegations.
- To the extent that the allegations of Paragraph 17 relate to other defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent the allegations of Paragraph 17 relate to Samsung, Samsung denies all of those allegations.
- To the extent that the allegations of Paragraph 18 relate to other defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent the allegations of Paragraph 18 relate to Samsung, Samsung denies all of those allegations.
- To the extent that the allegations of Paragraph 19 relate to other defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent the allegations of Paragraph 19 relate to Samsung, Samsung denies all of those allegations.
- To the extent that the allegations of Paragraph 20 relate to other defendants or a plaintiff, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent the allegations of Paragraph 20 relate to Samsung, Samsung denies all of those allegations.

#### **Allegations Concerning Defendants**

- 21. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 21, and therefore denies them.
- 22. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 22, and therefore denies them.
- 23. Samsung admits that Plaintiffs purport to refer to Epson Imaging Device Corporation, Epson Electronics America, Inc., and Seiko Epson collectively in the

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SEA, and SSI collectively in the Complaint as "Samsung."

Tubes, Ltd. and Tatung Company of America, Inc. collectively in the Complaint as

Samsung admits that Plaintiffs purport to refer to Chunghwa Picture

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58. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 58, and therefore denies them.

### V. Allegations Concerning Agents and Co-Conspirators

- 59. To the extent that the allegations of Paragraph 59 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 59 relate to Samsung, Samsung denies all of those allegations.
- 60. To the extent that the allegations of Paragraph 60 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 60 relate to Samsung, Samsung denies all of those allegations.
- 61. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegation in Paragraph 61, and therefore denies them.
- 62. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 62, and therefore denies them.
- 63. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 63, and therefore denies them.
- 64. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 64, and therefore denies them.
- 65. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 65, and therefore denies them.
- 66. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 66, and therefore denies them.
- 67. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 67, and therefore denies them.
- 68. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 68, and therefore denies them.

Samsung denies each and every allegation of Paragraph 81.

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- 82. To the extent that the allegations of Paragraph 82 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 82 relate to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that, during the alleged period, SSI sold TFT-LCD panels in the United States, and that SEA sold products containing TFT-LCD panels in the United States.
  - 83. Samsung denies each and every allegation of Paragraph 83.
  - 84. Samsung denies each and every allegation of Paragraph 84.

### VIII. The Factual Allegations

- 85. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 85, and therefore denies them.
- 86. To the extent allegations of Paragraph 86 are definitional, Samsung avers that no response is required. To the extent a response is required, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 86, and therefore denies them.
- 87. To the extent allegations of Paragraph 87 are definitional, Samsung avers that no response is required. To the extent a response is required, denies all of the allegations of Paragraph 87, with the exception of matters specifically admitted herein as follows. Samsung admits that TFT-LCD is one type of active matrix LCD.
- 88. To the extent allegations of Paragraph 88 are definitional, Samsung avers that no response is required. To the extent a response is required, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 88, and therefore denies them.
- 89. To the extent allegations of Paragraph 89 are definitional, Samsung avers that no response is required. To the extent a response is required, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of

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to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that SEC entered into a licensing

truth, and therefore denies them. To the extent that the allegations of Paragraph 97 relate

27 arrangement with AU Optronics Corp. in 2006.

98. Samsung denies each and every allegation of Paragraph 98.

to Samsung, Samsung denies all of those allegations.

- 112. To the extent that the allegations of Paragraph 112 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 112 relate to Samsung, Samsung denies all of those allegations.
- 113. To the extent that the allegations of Paragraph 113 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 113 relate to Samsung, Samsung denies all of those allegations.
- 114. To the extent that the allegations of Paragraph 114 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 114 relate to Samsung, Samsung denies all of those allegations.
- 115. To the extent that the allegations of Paragraph 115 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 115 relate to Samsung, Samsung denies all of those allegations.
- 116. To the extent that the allegations of Paragraph 116 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 116 relate to Samsung, Samsung denies all of those allegations.
- 117. To the extent that the allegations of Paragraph 117 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 117 relate to Samsung, Samsung denies all of those allegations.
- 118. To the extent that the allegations of Paragraph 118 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 118 relate to Samsung, Samsung denies all of those allegations.

- 119. To the extent that the allegations of Paragraph 119 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 119 relate to Samsung, Samsung denies all of those allegations.
- 120. To the extent that the allegations of Paragraph 120 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 120 relate to Samsung, Samsung denies all of those allegations.
- 121. To the extent that the allegations of Paragraph 121 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 121 relate to Samsung, Samsung denies all of those allegations.
- 122. To the extent that the allegations of Paragraph 122 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 122 relate to Samsung, Samsung denies all of those allegations.
- 123. To the extent that the allegations of Paragraph 123 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 123 relate to Samsung, Samsung denies all of those allegations.
- 124. To the extent that the allegations of Paragraph 124 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 124 relate to Samsung, Samsung denies all of those allegations.
- 125. To the extent that the allegations of Paragraph 125 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 125 relate to Samsung, Samsung denies all of those allegations.

- 126. To the extent that the allegations of Paragraph 126 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 126 relate to Samsung, Samsung denies all of those allegations.
- 127. To the extent that the allegations of Paragraph 127 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 127 relate to Samsung, Samsung denies all of those allegations.
- 128. To the extent that the allegations of Paragraph 128 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 128 relate to Samsung, Samsung denies all of those allegations.
- 129. To the extent that the allegations of Paragraph 129 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 129 relate to Samsung, Samsung denies all of those allegations.
- 130. To the extent that the allegations of Paragraph 130 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 130 relate to Samsung, Samsung denies all of those allegations.
- 131. To the extent that the allegations of Paragraph 131 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 131 relate to Samsung, Samsung denies all of those allegations.
  - 132. Samsung denies each and every allegation of Paragraph 132.
- 133. To the extent that the allegations of Paragraph 133 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 133 relate

to Samsung, Samsung denies all of those allegations.

- 134. To the extent that the allegations of Paragraph 134 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 134 relate to Samsung, Samsung denies all of those allegations.
- 135. To the extent that the allegations of Paragraph 135 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 135 relate to Samsung, Samsung denies all of those allegations.
- 136. To the extent that the allegations of Paragraph 136 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 136 relate to Samsung, Samsung denies all of those allegations.
- 137. To the extent that the allegations of Paragraph 137 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 137 relate to Samsung, Samsung denies all of those allegations.
- 138. To the extent that the allegations of Paragraph 138 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 138 relate to Samsung, Samsung denies all of those allegations.
- 139. To the extent that the allegations of Paragraph 139 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 139 relate to Samsung, Samsung denies all of those allegations.
- 140. To the extent that the allegations of Paragraph 140 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 140 relate

to Samsung, Samsung denies all of those allegations.

- 141. To the extent that the allegations of Paragraph 141 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 141 relate to Samsung, Samsung denies all of those allegations.
- 142. To the extent that the allegations of Paragraph 142 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 142 relate to Samsung, Samsung denies all of those allegations.
  - 143. Samsung denies each and every allegation of Paragraph 143.
- 144. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 144, and therefore denies them.
- 145. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 145, and therefore denies them.
  - 146. Samsung denies each and every allegation of Paragraph 146.
- 147. To the extent that the allegations of Paragraph 147 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 147 relate to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that, in December of 2006, government authorities in Japan, Korea, the European Union, and the United States revealed the existence of investigations into the TFT-LCD industry, the details of which are matters of public record.
- 148. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 148, and therefore denies them.
- 149. With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 149, and therefore denies them. Samsung admits that LG Display

Co. Ltd., LG Display America Inc., Sharp Corporation, and Chunghwa Picture Tubes, Ltd. entered into plea agreements with the DOJ, the terms of which are matters of public record.

- 150. With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 150, and therefore denies them. Samsung admits that Chunghwa Picture Tubes, Ltd. entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- 151. With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 151, and therefore denies them. Samsung admits that LG Display Co. Ltd. and LG Display America Inc. entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- 152. With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 152 and its subparts, and therefore denies them. Samsung admits that LG Display Co. Ltd., LG Display America Inc., and Chunghwa Picture Tubes, Ltd. entered into plea agreements with the DOJ, the terms of which are matters of public record.
- 153. With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 153, and therefore denies them. Samsung admits that Sharp Corporation entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- 154. With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 154 and its subparts, and therefore denies them. Samsung admits that Sharp Corporation entered into a plea agreement with the DOJ, the terms of which are

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a matter of public record.

- With the exception of matters specifically admitted herein as follows, 155. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 155 and its subparts, and therefore denies them. Samsung admits that Sharp Corporation entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 156, and therefore denies them. Samsung admits that Epson Imaging Devices Corporation entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 157 and its subparts, and therefore denies them. Samsung admits that Epson Imaging Devices Corporation entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- 158. With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 158, and therefore denies them. Samsung admits that Epson Imaging Devices Corporation entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- With the exception of matters specifically admitted herein as follows, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 159, and therefore denies them. Samsung admits that Epson Imaging Devices Corporation entered into a plea agreement with the DOJ, the terms of which are a matter of public record.
- 160. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 160, and therefore denies them.

- 161. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 161, and therefore denies them.
  - 162. Samsung denies each and every allegation of Paragraph 162.
  - 163. Samsung denies each and every allegation of Paragraph 163.
- 164. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 164, and therefore denies them.
- 165. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 165, and therefore denies them.
- 166. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 166, and therefore denies them.
- 167. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 167, and therefore denies them.
- 168. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 168, and therefore denies them.
- 169. To the extent that the allegations of Paragraph 169 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 169 relate to Samsung, Samsung denies all of those allegations.
- 170. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 170, and therefore denies them.
- 171. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 171, and therefore denies them.
- 172. Samsung denies the allegations of Paragraph 172, with the exception of matters specifically admitted herein as follows. Samsung admits that, during at least portions of the alleged period, Yoon-Woo Lee was an SEC employee. With respect to the purported communication attributed to Mr. Lee referenced in Paragraph 172, Samsung refers Plaintiffs to the referenced communication for a description of its content.

- 173. To the extent that the allegations of Paragraph 173 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 173 relate to Samsung, Samsung denies all of those allegations.
  - 174. Samsung denies each and every allegation of Paragraph 174.
- 175. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 175, and therefore denies them.
- 176. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations in the first sentence of Paragraph 176, and therefore denies them. Samsung denies the allegations in the second sentence of Paragraph 176.
- 177. To the extent that the allegations of Paragraph 177 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 177 relate to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that, during at least portions of the alleged period, Yoon-Woo Lee was an SEC employee. With respect to the purported communication attributed to Mr. Lee referenced in Paragraph 177, Samsung refers Plaintiffs to the referenced communication for a description of its content.
- 178. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 178, and therefore denies them.
- 179. To the extent that the allegations of Paragraph 179 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 179 relate to Samsung, Samsung denies all of those allegations.
- 180. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 180, and therefore denies them.
- 181. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 181, and therefore denies them.

182. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 182, and therefore denies them.

- 183. To the extent that the allegations of Paragraph 183 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 183 relate to Samsung, Samsung denies all of those allegations.
- 184. To the extent that the allegations of Paragraph 184 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 184 relate to Samsung, Samsung denies all of those allegations.
- 185. To the extent that the allegations of Paragraph 185 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 185 relate to Samsung, Samsung denies all of those allegations.
- 186. To the extent that the allegations of Paragraph 186 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 186 relate to Samsung, Samsung denies all of those allegations.
- 187. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 187, and therefore denies them. With respect to the purported communication attributed to unnamed officials at Samsung referenced in Paragraph 187, Samsung refers Plaintiffs to the referenced communication for a description of its content.
- 188. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations in the first and second sentences of Paragraph 188, and therefore denies them. Samsung denies the allegations in the third sentence of Paragraph 188.

allegations of Paragraph 199, and therefore denies them. Samsung admits that, in 2004,

SEC and Sony Corporation entered into a joint venture arrangement known as S-LCD

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Corp.

- 200. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 200, and therefore denies them.
- 201. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 201, and therefore denies them.
- 202. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 202, and therefore denies them. With respect to the purported announcement attributed to Samsung referenced in Paragraph 202, Samsung refers Plaintiffs to the referenced communication for a description of its content.
- 203. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 203, and therefore denies them.
- 204. To the extent that the allegations of Paragraph 204 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 204 relate to Samsung, Samsung denies all of those allegations.
- 205. To the extent that the allegations of Paragraph 205 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 205 relate to Samsung, Samsung denies all of those allegations.
- 206. Samsung denies the allegations of Paragraph 206, with the exception of matters specifically admitted herein as follows. Samsung admits that, during at least portions of the alleged period, Sang-Wan Lee, was an SEC employee. With respect to the purported communication attributed to Mr. Lee referenced in Paragraph 206, Samsung refers Plaintiffs to the referenced communication for a description of its content.
  - 207. Samsung denies each and every allegation of Paragraph 207.
- 208. To the extent that the allegations of Paragraph 208 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 208 relate

To the extent that the allegations of Paragraph 217 relate to other 217. defendants, Samsung lacks knowledge or information sufficient to form a belief as to their

to the truth of the allegations of Paragraph 216, and therefore denies them.

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truth, and therefore denies them. To the extent that the allegations of Paragraph 217 relate to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that, during at least portions of the alleged period, SEC and SSI personnel attended conferences hosted by the Society for Information Display.

- 218. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 218, and therefore denies them.
- 219. To the extent that the allegations of Paragraph 219 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 219 relate to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that, Jun-Hyung Souk and Dong-Hun Lee, employees of SEC at the time, attended the Society for Information Display 2004 Symposium.
- 220. To the extent that the allegations of Paragraph 220 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 220 relate to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that, during at least portions of the alleged period Jun-Hyung Souk was an SEC employee, and gave a presentation at the Society for Information Display 2005 Symposium entitled "Managing the Crystal Cycles". With respect to the purported paraphrased summary of the presentation referenced in Paragraph 220, Samsung refers Plaintiffs to the referenced presentation for a description of its content.
- 221. To the extent that the allegations of Paragraph 221 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 221 relate to Samsung, Samsung denies all of those allegations, with the exception of matters

specifically admitted herein as follows. Samsung admits that, Sang Wan Lee and Jun-Hyung Souk, employees of SEC at the time, and Joe Virginia, an employee of SSI at the time, attended the Society for Information Display 2005 Symposium.

- 222. To the extent that the allegations of Paragraph 222 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 222 relate to Samsung, Samsung denies all of those allegations.
- 223. To the extent that the allegations of Paragraph 223 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 223 relate to Samsung, Samsung denies all of those allegations.
- 224. To the extent that the allegations of Paragraph 224 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 224 relate to Samsung, Samsung denies all of those allegations, with the exception of matters specifically admitted herein as follows. Samsung admits that, Jun-Hyung Souk, an employee of SEC at the time, attended the GFPC 2006 conference.
  - 225. Samsung denies each and every allegation of Paragraph 225.

### IX. Allegations Concerning Fraudulent Concealment.

- 226. Samsung denies each and every allegation of Paragraph 226.
- 227. To the extent that the allegations of Paragraph 227 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 227 relate to Samsung, Samsung denies all of those allegations.
- 228. To the extent that the allegations of Paragraph 228 relate to other defendants, Samsung lacks knowledge or information sufficient to form a belief as to their truth, and therefore denies them. To the extent that the allegations of Paragraph 228 relate to Samsung, Samsung denies all of those allegations.

denies all of those allegations.

1	239. Samsung denies each and every allegation of Paragraph 239.
2	240. Samsung denies each and every allegation of Paragraph 240.
3	241. Samsung denies each and every allegation of Paragraph 241.
4	242. Samsung denies each and every allegation of Paragraph 242,
5	including its subparts.
6	243. Samsung denies each and every allegation of Paragraph 243.
7	XI. Allegations Concerning Plaintiffs' Prayer for Relief.
8	Samsung denies that Plaintiffs suffered any injury or incurred any damages
9	by any act or omission of Samsung as alleged in the Complaint, and further denies that
10	Plaintiffs are entitled to any relief under any theory by means of the allegations set forth in
11	the Complaint.
12	ADDITIONAL OR AFFIRMATIVE DEFENSES
13	Without assuming any burden it would not otherwise bear, Samsung asserts
14	the following additional and/or affirmative defenses to Plaintiffs' Complaint:
15	FIRST ADDITIONAL OR AFFIRMATIVE DEFENSE
16	(Failure to State a Claim)
17	The Complaint fails to state a claim upon which relief can be granted.
18	SECOND ADDITIONAL OR AFFIRMATIVE DEFENSE
19	(Waiver and Estoppel)
20	Plaintiffs' claims should be dismissed to the extent that they are barred, in
21	whole or in part, by the doctrines of waiver and/or estoppel.
22	THIRD ADDITIONAL OR AFFIRMATIVE DEFENSE
23	(Laches)
24	Plaintiffs' claims should be dismissed to the extent that they are barred, in
25	whole or in part, by the equitable doctrine of laches.
26	FOURTH ADDITIONAL OR AFFIRMATIVE DEFENSE
27	(Unclean Hands)
28	Plaintiffs' claims should be dismissed to the extent that they are barred, in

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1	whole or in part, by the equitable doctrine of unclean hands.
2	FIFTH ADDITIONAL OR AFFIRMATIVE DEFENSE
3	(Remedies Unconstitutional, Unauthorized or Contrary to Public Policy)
4	Plaintiffs' claims should be dismissed to the extent that they are barred, in
5	whole or in part, because the remedies sought are unconstitutional, contrary to public
6	policy, or are otherwise unauthorized.
7	SIXTH ADDITIONAL OR AFFIRMATIVE DEFENSE
8	(Absence of Damages)
9	Plaintiffs' claims should be dismissed because Plaintiffs and/or certain
10	members of the putative class have suffered no injury or damages as a result of the matters
11	alleged in the Complaint. To the extent that Plaintiffs and/or certain members of the
12	putative class purportedly suffered injury or damage, which Samsung specifically denies,
13	Samsung further contends that any such purported injury or damage was not by reason of
14	any act or omission of Samsung.
15	SEVENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
16	(No Antitrust Injury)
17	Plaintiffs' claims should be dismissed because Plaintiffs and/or certain
18	members of the putative class have not suffered actual, cognizable antitrust injury of the
19	type antitrust laws are intended to remedy.
20	EIGHTH ADDITIONAL OR AFFIRMATIVE DEFENSE
21	(Uncertainty of Damages)
22	Plaintiffs' claims should be dismissed because the alleged damages sought
23	are too speculative and uncertain, and cannot be practicably ascertained or allocated.
24	NINTH ADDITIONAL OR AFFIRMATIVE DEFENSE
25	(Failure to Mitigate Damages)
26	Plaintiffs' claims should be dismissed, in whole or in part, because Plaintiffs
27	and/or certain members of the putative class failed to take all necessary, reasonable, and
28	appropriate actions to mitigate their alleged damages, if any.

1	TENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
2	(Statute of Limitation)
3	Plaintiffs' claims should be dismissed to the extent that they are barred, in
4	whole or in part, by the applicable statute(s) of limitations.
5	ELEVENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
6	(Unjust Enrichment)
7	Plaintiffs' claims should be dismissed to the extent that they are barred, in
8	whole or in part, because Plaintiffs and/or certain members of the putative class would be
9	unjustly enriched if they were allowed to recover any part of the damages alleged in the
10	Complaint.
11	TWELFTH ADDITIONAL OR AFFIRMATIVE DEFENSE
12	(Lack of Proximate Cause)
13	Plaintiffs' claims should be dismissed to the extent that they are barred, in
14	whole or in part, due to the absence of any injury or damage for which Samsung's actions,
15	conduct, or omissions were the proximate cause.
16	THIRTEENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
17	(Adequate Remedy at Law)
18	Plaintiffs' claims for injunctive relief should be dismissed because Plaintiffs
19	and/or certain members of the putative class have available an adequate remedy at law.
20	FOURTEENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
21	(Privilege)
22	Plaintiffs' claims should be dismissed to the extent that they are barred, in
23	whole or in part, because any action taken by or on behalf of Samsung was justified,
24	constituted bona fide business competition and was taken in pursuit of its own legitimate
25	business and economic interests, and is therefore privileged.
26	FIFTEENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
27	(Non-actionable or Governmental Privilege)
28	Plaintiffs' claims are barred, in whole or in part, because the alleged conduct

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1	of SEC that is the subject of the Complaint was caused by, due to, based upon, or in
2	response to directives, laws, regulations, policies, and/or acts of governments,
3	governmental agencies and entities, and/or regulatory agencies, and such is non-actionable
4	or privileged.
5	SIXTEENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
6	(Failure to Join Indispensable Parties)
7	Plaintiffs' claims should be dismissed to the extent that they are barred, in
8	whole or in part, for failure to join indispensable parties.
9	SEVENTEENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
10	(Comparative Fault)
11	Plaintiffs' claims should be dismissed to the extent that they are barred, in
12	whole or in part, because any and all injuries alleged in the Complaint, the fact and extent
13	of which Samsung specifically denies, were directly and proximately caused or contributed
14	to by the statements, acts, and/or omissions of Plaintiffs, certain members of the putative
15	class, and/or third parties or entities, other than Samsung.
16	EIGHTEENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
17	(Acquiescence)
18	Plaintiffs' claims should be dismissed to the extent that they are barred, in
19	whole or in part, by Plaintiffs' and/or certain members of the putative class acquiescence
20	and/or confirmation of any and all conduct and/or omissions alleged as to Samsung.
21	NINETEENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
22	(Failure to State a Claim Under the Foreign Trade Antitrust Improvements Act)
23	Plaintiffs' claims for any foreign purchases, if any, should be dismissed to
24	the extent that they are barred, in whole or in part, because Plaintiffs have failed to allege
25	facts sufficient to support a claim under the foreign Trade Antitrust Improvements Act, 15
26	U.S.C. § 6a.
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TWENTIETH ADDITIONAL OR AFFIRMATIVE DEFENSE
(Uncertainty)
Plaintiffs' claims should be dismissed for uncertainty and vagueness and
because their claims are ambiguous, and/or unintelligible. Samsung avers that Plaintiffs'
claims do not describe the events or legal theories with sufficient particularity to permit
Samsung to ascertain what other defenses may exist. Samsung therefore reserves the right
to amend its Answer to assert additional defenses and/or supplement, alter, or change its
Answer and/or defenses upon the discovery of more definitive facts upon the completion
of its investigation and discovery.
TWENTY-FIRST ADDITIONAL OR AFFIRMATIVE DEFENSE

### <u>EFENSE</u>

(Lack of Standing to Sue for Injuries Alleged)

Plaintiffs' claims should be dismissed, in whole or in part, to the extent Plaintiffs lack standing to sue for the injuries alleged in the Complaint.

## TWENTY-SECOND ADDITIONAL OR AFFIRMATIVE DEFENSE (Competition Not Harmed)

Plaintiffs' claims should be dismissed to the extent that they are barred, in whole or in part, because Samsung's actions did not lessen competition in the relevant market.

# TWENTY-THIRD ADDITIONAL OR AFFIRMATIVE DEFENSE

(Intervening or Superseding Acts of Third Parties)

Plaintiffs' damages, if any, resulted from the acts or omissions of third parties over whom Samsung had no control or responsibility. The acts of such third parties constitute intervening or superseding causes of harm, if any, suffered by Plaintiffs.

### TWENTY-FOURTH ADDITIONAL OR AFFIRMATIVE DEFENSE

(Injury or Damages Offset by Benefits Received)

Plaintiffs' claims should be dismissed to the extent that they are barred, in whole or in part, because any claimed injury or damage has been offset by benefits Plaintiffs received with respect to the challenged conduct.

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1	TWENTY-FIFTH ADDITIONAL OR AFFIRMATIVE DEFENSE
2	(Increased Output and Lower Prices)
3	Samsung, without admitting the existence of any contract, combination, or
4	conspiracy in restraint of trade as alleged in the Complaint, avers that the matters about
5	which Plaintiffs complain resulted in increased output and lower prices for TFT-LCD
6	Products.
7	TWENTY-SIXTH ADDITIONAL OR AFFIRMATIVE DEFENSE
8	(Prices Fixed by Plaintiffs)
9	Plaintiffs' claims should be dismissed to the extent they are barred, in whole
10	or in part, because the price of TFT-LCD Products was fixed, if at all, by Plaintiffs and/or
11	other purchasers of TFT-LCD Products, either individually or collectively.
12	TWENTY-SEVENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
13	(Failure to Allege Fraud or Fraudulent Conspiracy with Particularity)
14	Plaintiffs' claims should be dismissed to the extent that they are barred, in
15	whole or in part, because Plaintiffs have failed to allege fraud or fraudulent concealment
16	with sufficient particularity.
17	TWENTY-EIGHTH ADDITIONAL OR AFFIRMATIVE DEFENSE
18	(Failure to Plead Conspiracy with Particularity)
19	Plaintiffs' claims should be dismissed to the extent that they are barred, in
20	whole or in part, because Plaintiffs have failed to allege conspiracy with sufficient
21	particularity.
22	TWENTY-NINTH ADDITIONAL OR AFFIRMATIVE DEFENSE
23	(No Detrimental Reliance)
24	Plaintiffs' claims should be dismissed to the extent that they are barred, in
25	whole or in part, because Plaintiffs did not detrimentally rely upon any alleged deceptive
26	trade conduct as alleged in the Complaint.
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1	THIRTIETH ADDITIONAL OR AFFIRMATIVE DEFENSE
2	(Set Off)
3	Without admitting that plaintiffs are entitled to recover damages in this
4	matter, Samsung is entitled to set off from any recovery Plaintiffs may obtain against
5	Samsung any amount paid to Plaintiffs by any other Defendants who have settled, or do
6	settle, Plaintiffs' claims in this matter.
7	THIRTY-FIRST ADDITIONAL OR AFFIRMATIVE DEFENSE
8	(Failure to State a Claim for Injunctive Relief)
9	Plaintiffs' claims should be dismissed to the extent that they are barred, in
10	whole or in part, because Plaintiffs have failed to state a claim for injunctive relief insofar
11	as Plaintiffs seek to enjoin alleged events that have already transpired without the requisite
12	showing of threatened harm or continuing harm.
13	THIRTY-SECOND ADDITIONAL OR AFFIRMATIVE DEFENSE
14	(Lack of Jurisdiction)
15	Plaintiffs' claims should be dismissed to the extent that they are barred, in
16	whole or in part, because any alleged conduct of Samsung occurred outside of the
17	jurisdiction of the Court.
18	THIRTY-THIRD ADDITIONAL OR AFFIRMATIVE DEFENSE
19	(No Attorney Fees Allowed)
20	An award of attorneys' fees, based upon the conduct alleged in the
21	Complaint, is not allowed under applicable federal or state law.
22	THIRTY-FOURTH ADDITIONAL OR AFFIRMATIVE DEFENSE
23	(Lack of Standing)
24	Plaintiffs' claims are barred by Plaintiffs' lack of standing to prosecute the
25	claims individually or as a class action.
26	THIRTY-FIFTH ADDITIONAL OR AFFIRMATIVE DEFENSE
27	(Lack of Commonality)
$_{28}$	Plaintiffs' claims are improperly joined within the meaning of the Federal

1	Rule of Civil Procedure 20 or 23 because they did not arise out of the same transaction,
2	occurrence, or series of transactions or occurrences, and/or do not involve questions of law
3	or fact common to all defendants.
4	THIRTY-SIXTH ADDITIONAL OR AFFIRMATIVE DEFENSE
5	(Lack of Typicality)
6	Plaintiffs' claims are improperly joined within the meaning of the Federal
7	Rule of Civil Procedure 23 because they are not typical of the claims of the other members
8	of the putative class.
9	THIRTY-SEVENTH ADDITIONAL OR AFFIRMATIVE DEFENSE
10	(Plaintiffs are Not Proper Representatives)
11	Plaintiffs' claims are barred, in whole or in part, because the named Plaintiffs
12	are not proper class representatives.
13	THIRTY-EIGHTH ADDITIONAL OR AFFIRMATIVE DEFENSE
14	(Other Defenses Incorporated by Reference)
15	Samsung adopts and incorporates by reference any and all other additional or
16	affirmative defenses asserted or to be asserted by any other defendant in this proceeding to
17	the extent that Samsung may share in such affirmative defenses.
18	THIRTY-NINTH ADDITIONAL OR AFFIRMATIVE DEFENSE
19	(Reservation of Rights to Assert Additional Defenses)
20	Samsung has not knowingly or intentionally waived any applicable defenses
21	and explicitly reserves the right to assert and rely on such other applicable defenses as may
22	become available or apparent during discovery proceedings. Samsung further reserves the
23	right to amend its Answer and/or its defenses accordingly, and/or to delete defenses that it
24	determines are not applicable during the course of subsequent discovery.
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1	WHEREFORE, Samsung prays for judgment as follows:
2	1. That Plaintiffs take nothing under the Complaint, and the Complaint be dismissed with prejudice;
3 4	2. That judgment be entered in favor of Samsung and against Plaintiffs on each and every cause of action set forth in the Complaint;
5	3. That Samsung recover its costs of suit and attorneys' fees incurred herein; and
6 7	4. That Samsung be granted such other and further relief as the Court deems just and proper.
8	deems just and proper.
9	Dated: January 6, 2010 SHEPPARD, MULLIN, RICHTER & HAMPTON LL
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11	By /s/ Michael W. Scarborough
12	Michael W. Scarborough Attorneys for Defendants
13	SAMSUNG ELECTRONICS CO., LTD.,
14	SAMSUNG SEMICONDUCTOR, INC. and SAMSUNG ELECTRONICS AMERICA, INC.
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